



'JUL 08 2002' Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00) #3

Approved for use through 10/31/2002. OMB 0551-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/076,201
		Filing Date	February 12, 2002
		First Named Inventor	YANG, Feng et al.
		Group Art Unit	
Examiner Name			
Total Number of Pages in This Submission	1	Attorney Docket Number	122-1.1

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Copy of Notice to File Missing Parts and Declaration and Power of Attorney
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 50-1229.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Dinh & Associates	Reg No. 40,993
Signature		
Date	July 2, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

July 2, 2002

Typed or printed name	Truong T. Dinh
Signature	
Date	July 2, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

COPY OF PAPERS
ORIGINALLY FILED

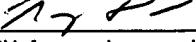
TOTAL AMOUNT OF PAYMENT

(\$) \$ 580.00

Complete If Known	
Application Number	10/076,201
Filing Date	February 12, 2002
First Named Inventor	YANG Feng et al.
Examiner Name	
Group Art Unit	

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																				
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 50-1229</p> <p>Deposit Account Name Dinh & Associates</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code (\$)</th> <th style="text-align: left;">Small Entity Fee Code (\$)</th> <th style="text-align: left;">Fee</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive – unavoidable</td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive – unintentional</td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td></tr> <tr><td colspan="4">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td style="text-align: right;">SUBTOTAL (3) (\$) 120</td> </tr> <tr> <td colspan="6" style="text-align: center;">The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	139	130	139	130	Non-English specification	147	2,520	147	2,520	For filing a request for reexamination	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	115	110	215	55	Extension for reply within first month	116	390	216	195	Extension for reply within second month	117	890	217	445	Extension for reply within third month	118	1,440	218	720	Extension for reply within fourth month	128	1,890	228	945	Extension for reply within fifth month	119	310	219	155	Notice of Appeal	120	310	220	155	Filing a brief in support of an appeal	121	270	221	135	Request for oral hearing	138	1,510	138	1,510	Petition to institute a public use proceeding	140	110	240	55	Petition to revive – unavoidable	141	1,240	241	620	Petition to revive – unintentional	142	1,240	242	620	Utility issue fee (or reissue)	143	440	243	220	Design issue fee	144	600	244	300	Plant issue fee	122	130	122	130	Petitions to the Commissioner	123	50	123	50	Petitions related to provisional applications	126	180	126	180	Submission of Information Disclosure Stmt	581	40	581	40	Recording each patent assignment per property (times number of properties)	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	179	710	279	355	Request for Continued Examination (RCE)	169	900	169	900	Request for expedited examination of a design application	Other fee (specify) _____					*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$) 120	The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee	Fee Description	Fee Paid																																																																																																																																																																				
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																				
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																				
139	130	139	130	Non-English specification																																																																																																																																																																				
147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																				
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																				
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																				
115	110	215	55	Extension for reply within first month																																																																																																																																																																				
116	390	216	195	Extension for reply within second month																																																																																																																																																																				
117	890	217	445	Extension for reply within third month																																																																																																																																																																				
118	1,440	218	720	Extension for reply within fourth month																																																																																																																																																																				
128	1,890	228	945	Extension for reply within fifth month																																																																																																																																																																				
119	310	219	155	Notice of Appeal																																																																																																																																																																				
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																																				
121	270	221	135	Request for oral hearing																																																																																																																																																																				
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																				
140	110	240	55	Petition to revive – unavoidable																																																																																																																																																																				
141	1,240	241	620	Petition to revive – unintentional																																																																																																																																																																				
142	1,240	242	620	Utility issue fee (or reissue)																																																																																																																																																																				
143	440	243	220	Design issue fee																																																																																																																																																																				
144	600	244	300	Plant issue fee																																																																																																																																																																				
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																				
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																				
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																				
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																				
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																				
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																				
179	710	279	355	Request for Continued Examination (RCE)																																																																																																																																																																				
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																				
Other fee (specify) _____																																																																																																																																																																								
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$) 120																																																																																																																																																																				
The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.																																																																																																																																																																								
<p>2. BASIC FILING FEE</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code (\$)</th> <th style="text-align: left;">Small Entity Fee Code (\$)</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>\$370</td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td></tr> <tr> <td colspan="4" style="text-align: center;">SUBTOTAL (1) (\$) 370</td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101	740	201	\$370	106	320	206	160	107	490	207	245	108	710	208	355	114	150	214	75	SUBTOTAL (1) (\$) 370				<p>2. EXTRA CLAIM FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Total Claims</th> <th style="text-align: left;">Extra Claims</th> <th style="text-align: left;">Fee from below</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>30</td><td>-20**</td><td>= 10</td><td>X 8 = 80</td></tr> <tr><td>3</td><td>-3**</td><td>= 0</td><td>X 42 = 0</td></tr> <tr> <td colspan="4"> Multiple Dependent X _____ = _____ </td> </tr> </tbody> </table> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code (\$)</th> <th style="text-align: left;">Small Entity Fee Code (\$)</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td></tr> <tr> <td colspan="4" style="text-align: center;">SUBTOTAL (2) (\$) 90</td> </tr> </tbody> </table>				Total Claims	Extra Claims	Fee from below	Fee Paid	30	-20**	= 10	X 8 = 80	3	-3**	= 0	X 42 = 0	Multiple Dependent X _____ = _____				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103	18	203	9	102	80	202	40	104	270	204	135	109	80	209	40	110	18	210	9	SUBTOTAL (2) (\$) 90																																																																																												
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																					
101	740	201	\$370																																																																																																																																																																					
106	320	206	160																																																																																																																																																																					
107	490	207	245																																																																																																																																																																					
108	710	208	355																																																																																																																																																																					
114	150	214	75																																																																																																																																																																					
SUBTOTAL (1) (\$) 370																																																																																																																																																																								
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																					
30	-20**	= 10	X 8 = 80																																																																																																																																																																					
3	-3**	= 0	X 42 = 0																																																																																																																																																																					
Multiple Dependent X _____ = _____																																																																																																																																																																								
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																																																																					
103	18	203	9																																																																																																																																																																					
102	80	202	40																																																																																																																																																																					
104	270	204	135																																																																																																																																																																					
109	80	209	40																																																																																																																																																																					
110	18	210	9																																																																																																																																																																					
SUBTOTAL (2) (\$) 90																																																																																																																																																																								

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY						Complete (if applicable)	
Name (Print/Type)	Truong T. Dinh	Registration No. Attorney/Agent)	40,993	Telephone	650.289.0600		
Signature						Date	July 2, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/076,201	02/12/2002	Feng Yang	122-1.1

CONFIRMATION NO. 8601 FORMALITIES LETTER



OC000000007763491

Truong Dinh
 Dinh & Associates
 2506 Ash Street
 Palo Alto, CA 94306

Date Mailed: 04/02/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$90.
 - \$90 for 10 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 525.**

*A copy of this notice **MUST** be returned with the reply.*

Tedra

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/11/2002 STEINEL1 00000020 501229 10076201

01 FC:201	370.00 CH
02 FC:203	90.00 CH
03 FC:205	65.00 CH